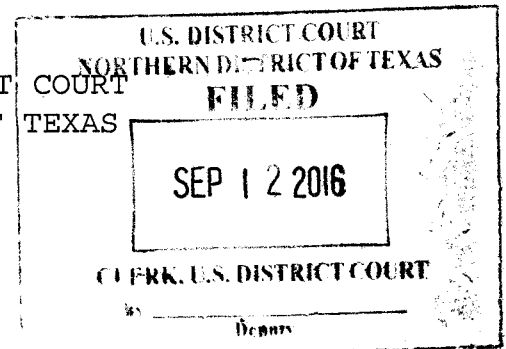


IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
FORT WORTH DIVISION



DALE ROY SLAVEN,

Petitioner,

V.

LORIE DAVIS, Director,¹
Texas Department of Criminal
Justice, Correctional
Institutions Division,

Respondent .

2021 2020 2019 2018 2017 2016 2015 2014 2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002 2001 2000 1999 1998 1997 1996 1995 1994 1993 1992 1991 1990 1989 1988 1987 1986 1985 1984 1983 1982 1981 1980 1979 1978 1977 1976 1975 1974 1973 1972 1971 1970 1969 1968 1967 1966 1965 1964 1963 1962 1961 1960 1959 1958 1957 1956 1955 1954 1953 1952 1951 1950 1949 1948 1947 1946 1945 1944 1943 1942 1941 1940 1939 1938 1937 1936 1935 1934 1933 1932 1931 1930 1929 1928 1927 1926 1925 1924 1923 1922 1921 1920 1919 1918 1917 1916 1915 1914 1913 1912 1911 1910 1909 1908 1907 1906 1905 1904 1903 1902 1901 1900 1899 1898 1897 1896 1895 1894 1893 1892 1891 1890 1889 1888 1887 1886 1885 1884 1883 1882 1881 1880 1879 1878 1877 1876 1875 1874 1873 1872 1871 1870 1869 1868 1867 1866 1865 1864 1863 1862 1861 1860 1859 1858 1857 1856 1855 1854 1853 1852 1851 1850 1849 1848 1847 1846 1845 1844 1843 1842 1841 1840 1839 1838 1837 1836 1835 1834 1833 1832 1831 1830 1829 1828 1827 1826 1825 1824 1823 1822 1821 1820 1819 1818 1817 1816 1815 1814 1813 1812 1811 1810 1809 1808 1807 1806 1805 1804 1803 1802 1801 1800 1799 1798 1797 1796 1795 1794 1793 1792 1791 1790 1789 1788 1787 1786 1785 1784 1783 1782 1781 1780 1779 1778 1777 1776 1775 1774 1773 1772 1771 1770 1769 1768 1767 1766 1765 1764 1763 1762 1761 1760 1759 1758 1757 1756 1755 1754 1753 1752 1751 1750 1749 1748 1747 1746 1745 1744 1743 1742 1741 1740 1739 1738 1737 1736 1735 1734 1733 1732 1731 1730 1729 1728 1727 1726 1725 1724 1723 1722 1721 1720 1719 1718 1717 1716 1715 1714 1713 1712 1711 1710 1709 1708 1707 1706 1705 1704 1703 1702 1701 1700 1699 1698 1697 1696 1695 1694 1693 1692 1691 1690 1689 1688 1687 1686 1685 1684 1683 1682 1681 1680 1679 1678 1677 1676 1675 1674 1673 1672 1671 1670 1669 1668 1667 1666 1665 1664 1663 1662 1661 1660 1659 1658 1657 1656 1655 1654 1653 1652 1651 1650 1649 1648 1647 1646 1645 1644 1643 1642 1641 1640 1639 1638 1637 1636 1635 1634 1633 1632 1631 1630 1629 1628 1627 1626 1625 1624 1623 1622 1621 1620 1619 1618 1617 1616 1615 1614 1613 1612 1611 1610 1609 1608 1607 1606 1605 1604 1603 1602 1601 1600 1599 1598 1597 1596 1595 1594 1593 1592 1591 1590 1589 1588 1587 1586 1585 1584 1583 1582 1581 1580 1579 1578 1577 1576 1575 1574 1573 1572 1571 1570 1569 1568 1567 1566 1565 1564 1563 1562 1561 1560 1559 1558 1557 1556 1555 1554 1553 1552 1551 1550 1549 1548 1547 1546 1545 1544 1543 1542 1541 1540 1539 1538 1537 1536 1535 1534 1533 1532 1531 1530 1529 1528 1527 1526 1525 1524 1523 1522 1521 1520 1519 1518 1517 1516 1515 1514 1513 1512 1511 1510 1509 1508 1507 1506 1505 1504 1503 1502 1501 1500 1499 1498 1497 1496 1495 1494 1493 1492 1491 1490 1489 1488 1487 1486 1485 1484 1483 1482 1481 1480 1479 1478 1477 1476 1475 1474 1473 1472 1471 1470 1469 1468 1467 1466 1465 1464 1463 1462 1461 1460 1459 1458 1457 1456 1455 1454 1453 1452 1451 1450 1449 1448 1447 1446 1445 1444 1443 1442 1441 1440 1439 1438 1437 1436 1435 1434 1433 1432 1431 1430 1429 1428 1427 1426 1425 1424 1423 1422 1421 1420 1419 1418 1417 1416 1415 1414 1413 1412 1411 1410 1409 1408 1407 1406 1405 1404 1403 1402 1401 1400 1399 1398 1397 1396 1395 1394 1393 1392 1391 1390 1389 1388 1387 1386 1385 1384 1383 1382 1381 1380 1379 1378 1377 1376 1375 1374 1373 1372 1371 1370 1369 1368 1367 1366 1365 1364 1363 1362 1361 1360 1359 1358 1357 1356 1355 1354 1353 1352 1351 1350 1349 1348 1347 1346 1345 1344 1343 1342 1341 1340 1339 1338 1337 1336 1335 1334 1333 1332 1331 1330 1329 1328 1327 1326 1325 1324 1323 1322 1321 1320 1319 1318 1317 1316 1315 1314 1313 1312 1311 1310 1309 1308 1307 1306 1305 1304 1303 1302 1301 1300 1299 1298 1297 1296 1295 1294 1293 1292 1291 1290 1289 1288 1287 1286 1285 1284 1283 1282 1281 1280 1279 1278 1277 1276 1275 1274 1273 1272 1271 1270 1269 1268 1267 1266 1265 1264 1263 1262 1261 1260 1259 1258 1257 1256 1255 1254 1253 1252 1251 1250 1249 1248 1247 1246 1245 1244 1243 1242 1241 1240 1239 1238 1237 1236 1235 1234 1233 1232 1231 1230 1229 1228 1227 1226 1225 1224 1223 1222 1221 1220 1219 1218 1217 1216 1215 1214 1213 1212 1211 1210 1209 1208 1207 1206 1205 1204 1

No. 4:14-CV-810-A

MEMORANDUM OPINION
and
ORDER

This is a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254 filed by petitioner, Dale Roy Slaven, a state prisoner confined in the Correctional Institutions Division of the Texas Department of Criminal Justice (TDCJ), against Lorie Davis, director of TDCJ, respondent. After having considered the pleadings, state court records, and relief sought by petitioner, the court has concluded that the petition should be denied.

I. Factual and Procedural History

On August 2, 2010, petitioner pleaded guilty to eight counts of aggravated robbery, six counts of robbery, and one count of

¹Lorie Davis has replaced William Stephens as director of the Correctional Institutions Division of the Texas Department of Criminal Justice. Therefore, pursuant to Federal Rule of Civil Procedure 25(d), Davis is automatically substituted as the party of record.

forgery in Tarrant County, Texas.² All fifteen indictments also included a habitual-offender notice, to which petitioner pleaded true. (RR, Sentencing Hr'g, vol. 1 at 4.) Following preparation of a presentence investigation report (PSI) and a sentencing hearing, the trial court sentenced petitioner to 60 years' confinement for each of the aggravated robbery offenses, 60 years' confinement for each of the robbery offenses, and 20 years' confinement for the forgery offense. (SHR 77,822-19 at 24-25.) Petitioner appealed his convictions and sentences, but the Second Court of Appeals of Texas affirmed the trial court's judgments, and the Texas Court of Criminal Appeals refused his petitions for discretionary review. Petitioner also filed postconviction state habeas applications challenging his convictions and sentences, which were denied by the Texas Court of Criminal Appeals without written order.

II. Issues

Generally, petitioner raises four grounds for relief: (1) he received ineffective assistance of counsel; (2) his guilty pleas were involuntary; (3) the state courts' decision was based on an

²Case Nos. 1178932D, 1179729D, 1179773D, 1179775D, 1180003D, 1180828D, 1180832D, 1180835D, 1180839D, 1180830D, 1180831D, 1180843D, 1181417D, 1183392D, and 1183501D. (State Habeas Record (hereinafter SHR) 77,822-19, at 24.)

unreasonable determination of the facts in light of the evidence; and (4) the state courts' decision was contrary to, or involved an unreasonable application of, clearly established law as determined by the Supreme Court. (Pet. 6-7, 11-16.) Petitioner's grounds are multifarious and are addressed below as thoroughly as practicable.

III. Rule 5 Statement

Respondent believes that petitioner has exhausted his state court remedies as to the claims raised and does not believe that the petition is barred by limitations or subject to the successive-petition bar. (Resp't's Answer at 6.) 28 U.S.C. §§ 2244(b), (d) & 2254(b)(1).

IV. Discussion

A. Legal Standard for Granting Habeas Corpus Relief

A § 2254 habeas petition is governed by the heightened standard of review provided for by the Anti-Terrorism and Effective Death Penalty Act (AEDPA). 28 U.S.C. § 2254. Under the Act, a writ of habeas corpus should be granted only if a state court arrives at a decision that is contrary to or an unreasonable application of clearly established federal law as determined by the United States Supreme Court or that is based on an unreasonable determination of the facts in light of the record

before the state court. *Harrington v. Richter*, 562 U.S. 86, 100-01 (2011); 28 U.S.C. § 2254(d)(1)-(2). This standard is difficult to meet and "stops short of imposing a complete bar on federal court relitigation of claims already rejected in state proceedings." *Harrington*, 562 U.S. at 102.

Additionally, the statute requires that federal courts give great deference to a state court's factual findings. *Hill v. Johnson*, 210 F.3d 481, 485 (5th Cir. 2000). Section 2254(e)(1) provides that a determination of a factual issue made by a state court shall be presumed to be correct. The presumption of correctness applies to both express and implied factual findings. *Young v. Dretke*, 356 F.3d 616, 629 (5th Cir. 2004); *Valdez v. Cockrell*, 274 F.3d 941, 948 n.11 (5th Cir. 2001). Absent express findings, a federal court may imply fact findings consistent with the state court's disposition. *Townsend v. Sain*, 372 U.S. 293, 314 (1963); *Pondexter v. Dretke*, 346 F.3d 142, 148 (5th Cir. 2003); *Catalan v. Cockrell*, 315 F.3d 491, 493 n.3 (5th Cir. 2002). It is the petitioner's burden to rebut the presumption of correctness. Typically, when the Texas Court of Criminal Appeals denies relief in a state habeas corpus application without written opinion it is an adjudication on the merits, which is entitled to the presumption. *Singleton v. Johnson*, 178 F.3d 381, 384 (5th Cir. 1999); *Ex parte Torres*, 943 S.W.2d 469, 472 (Tex.

Crim. App. 1997). Under these circumstances, a federal court assumes that the state court applied the proper clearly established federal law to the facts of the case, express or implied, and then determines whether its decision was contrary to or objectively unreasonable application of that law. See *Virgil v. Dretke*, 446 F.3d 598, 604 (5th Cir. 2006); 28 U.S.C.A. § 2254(d)(1).

**(1) and (2) Ineffective Assistance of Counsel and
Voluntariness of Petitioner's Guilty Pleas**

Under his first and second grounds, petitioner claims that he received ineffective assistance of trial counsel and that his pleas were involuntary. (Pet. at 6, 11-12.)

A criminal defendant has a constitutional right to the effective assistance of counsel at trial. U.S. CONST. amend. VI, XIV; *Evitts v. Lucey*, 469 U.S. 387, 396 (1985); *Strickland v. Washington*, 466 U.S. 668, 688 (1984). To prevail on an ineffective assistance claim in the context of a guilty plea, a defendant must demonstrate that his plea was rendered unknowing or involuntary by showing that (1) counsel's representation fell below an objective standard of reasonableness, and (2) there is a reasonable probability that, but for counsel's deficient performance, he would not have pleaded guilty and would have insisted on going to trial. *Hill v. Lockhart*, 474 U.S. 52, 56-59

(1985); *Smith v. Estelle*, 711 F.2d 677, 682 (5th Cir. 1983); see also *Strickland v. Washington*, 466 U.S. 668, 687 (1984). In assessing the reasonableness of counsel's representation, "counsel should be 'strongly presumed to have rendered adequate assistance and made all significant decisions in the exercise of reasonable professional judgment.'" *Cullen v. Pinholster*, 563 U.S. 170, 189 (2011) (quoting *Strickland*, 466 U.S. at 690).

Further, by entering a knowing, intelligent and voluntary guilty plea, a defendant waives all nonjurisdictional defects in the proceedings preceding the plea, including all claims of ineffective assistance of counsel that do not attack the voluntariness of the guilty plea. *Smith*, 711 F.2d at 682; *Bradbury v. Wainwright*, 658 F.2d 1083, 1087 (5th Cir. 1981). A guilty plea is knowing, voluntary and intelligent if done with sufficient awareness of the relevant circumstances and likely consequences surrounding the plea. *Brady v. United States*, 397 U.S. 742, 748 (1970). If a challenged guilty plea is knowing, voluntary and intelligent, it will be upheld on federal habeas review. *James v. Cain*, 56 F.3d 662, 666 (5th Cir. 1995).

Petitioner's claims of ineffective assistance of trial counsel are construed as follows: Counsel was ineffective by-

(a) failing to investigate and present expert witness

testimony at sentencing regarding his extensive history of "mental health and medical issues," including "chemical dependency/substance abuse issues," that affect his culpability and would have supported a voluntary intoxication defense and significantly reduced his sentences;

- (b) giving him "misleading" advice to enter open guilty pleas and turn down the state's 40-year offers in light of the severity of his cases and his criminal history;
- (c) failing to visit and confer with him in jail and to respond to his letters;
- (d) failing to investigate and disqualify void convictions used to enhance his range of punishment;
- (e) coercing him to forgo the state's 40-year plea offers and waive his right to a trial by jury;
- (f) failing to correct the factually erroneous PSI and to consult with him regarding the report; and
- (g) failing to conduct a basic, preliminary investigation instead of relying on the PSI, the state's discovery file and his and his mother's testimony at sentencing.

(Pet. at 6-7, 11-12.)

During the state habeas proceedings, trial counsel, John Fritz, responded to petitioner's claims via affidavit as follows:

- (1) I never advised Mr. Slaven to reject the State's plea offer.
- (2) I explained the effect his pleas of true to the enhancement and deadly weapon allegations would have on his potential sentence and any parole eligibility. I made no representations regarding

whether or when he would actually be granted parole.

- (3) I spoke with Mr. Slaven on several occasions prior to trial, and I discussed the circumstances of his cases, the State's offers and general position with regard to his cases, and his rights, protections, and options concerning his cases.
- (4) Mr. Slaven expressed to me that he understood his pleas and that he was entering them freely and voluntarily, sentiments he repeated on the record in open court.

(SHR, 77,822-19, at 34-35.)

Under claims (b) and (e), above, and petitioner's second ground, he claims that his guilty pleas were based on the "misleading" advice of counsel to turn down the 40-year plea offers, were coerced by counsel who was aware that he was on "psychotropic" medication that rendered him "docile, compliant and susceptible to coercion," and at the time he entered his pleas he was suffering from "mental health issues and medical issues causing defisient [sic] mental competency." (Pet. at 6, 11.) These claims go to the knowing and voluntary nature of petitioner's guilty pleas and pleas of true to the enhancements. Applying *Brady*, *supra*, and relevant state law, the state appellate court addressed these claims as follows:

Appellant . . . asserts that his guilty pleas were involuntary. A guilty plea must be knowingly and voluntarily made or it will be held constitutionally

invalid. A record reflecting that a defendant was properly admonished presents a prima facie showing that the guilty plea was entered knowingly and voluntarily.

Here, Appellant signed written plea admonishments, each of which states that he entered his plea knowingly and voluntarily and that he was aware of the consequences of his guilty plea. Appellant signed judicial confessions in each case. In person and in open court Appellant pleaded guilty to all fifteen charges, and he affirmed that he was pleading guilty because he was guilty. Although the record presents a prima facie showing that Appellant knowingly and voluntarily entered his pleas, Appellant asserts that his pleas were obtained through coercion and intimidation and were therefore involuntary. Appellant's specific complaint is that he was not aware that the State's forty-year offer was available when he entered open pleas of guilty (with no punishment recommendations). At Appellant's plea hearing, however, the trial court specifically advised Appellant that "[t]here are no plea agreements. It is an open plea. The State is not making you any offer on this." Likewise, Appellant's counsel elicited the following testimony from Appellant:

Q. [Defense Counsel]: And you have already been admonished on a previous occasion that there was a plea bargain offer made in this case that you rejected?

A. [Appellant]: Yes, sir.

Q. And by entering an open plea today, as the Judge said, you have no guarantee that you are going to do any better and there is a possibility that you could do considerably worse?

A. Yes, sir, I understand.

Q. At any point during this process, has—do you feel like there has been any miscommunication between you and I regarding

this process, your right to a trial, and what would be the prudent way for you [to] proceed?

A. No, I haven't.

Additionally, Appellant raised, and the trial court addressed, this issue at the abatement hearing. The trial court entered the following related findings and conclusions afterward:

The defendant contends his trial counsel failed to notify the defendant of a plea offer of 40 years that was made by the State at the August 2, 2010, plea hearing. No such plea offer was made by the State on August 2, 2010. Instead, the State's previous plea offer of [a] 40 year sentence[] had expired in May of 2010. The plea paperwork used [i]n connection with the defendant's August 2, 2010, plea does have the number "40" scratched out. However, this reflects the parties' decision to "recycle" previously completed (and unused) paperwork. The deletion of the number "40" from the plea paperwork was not intended by the State to reflect a plea offer of 40 years' imprisonment being made available to the defendant on August 2, 2010.

Thus, Appellant's complaint regarding this issue has no arguable merit.

Appellant additionally asserts that his pleas were involuntary because "his mental compet[e]nce to enter the plea[s][was] questionable." Appellant asserts (and the PSI indicates) that Appellant has previously been diagnosed with mood disorder, schizophrenia, anti-social personality disorder, depressive disorder, and cocaine dependence. Appellant asserts that it is clear from the record that he has a long history of psychiatric issues as well as several instances of severe head trauma.

A person is incompetent to stand trial if the person does not have sufficient present ability to consult with his lawyer with a reasonable degree of rational understanding or a rational as well as factual understanding of the proceedings against him. A court must conduct a competency inquiry only if there is a bona fide doubt in the judge's mind as to the defendant's competence. A bona fide doubt may exist if the defendant exhibits truly bizarre behavior or has a recent history of severe mental illness or at least moderate mental retardation.

Here, Appellant and his counsel both answered affirmatively that Appellant was competent to enter his guilty pleas. When the trial court stated its understanding that "there were some MHMR issues involved," Appellant stated that he was on his medication and that he understood all of the circumstances concerning these pleas. Based on his observations and Appellant's and counsel's answers, the trial court found Appellant competent to enter his guilty pleas. At the punishment hearing, Appellant addressed the court and asked for leniency in a four-page colloquy in the reporter's record.

Appellant's mental health diagnoses would implicate his competence at the time of his guilty pleas only if they impacted his present ability to consult with his counsel with a reasonable degree of rational understanding and his rational and factual understanding of the proceedings against him. We find nothing in the record that would raise a bona fide doubt as to Appellant's competence at the time of his guilty pleas.

(Mem. Op. at 7-10 (citations omitted).)

Having reviewed the record in its entirety, the state court's adjudication of the claims comports with Supreme Court precedent and is reasonable given the evidence before the court.

There is no credible evidence that counsel advised petitioner to reject the state's plea offers or that counsel improperly advised petitioner regarding his rights, waivers, and the consequences of his pleas. Nor is there evidence that petitioner was coerced by counsel in some way or that petitioner was incompetent to enter his pleas. Instead, the record reflects that the trial court was aware that petitioner was on medication for some "MHMR issues" at the time of his pleas; that petitioner was "medication compliant" and understood all the circumstances concerning his pleas; that his pleas were made knowingly, freely, and voluntarily; that petitioner was competent to enter his pleas; and that counsel had not suggested, threatened or promised him anything in order to get him to plead guilty. (RR, Plea Hr'g, vol. 1 at 7-8, 12.)

Petitioner also executed the written plea admonishments in which he acknowledged that he was mentally competent, that his pleas were knowingly, freely, and voluntarily entered, and that no one had threatened, coerced, forced, persuaded or promised him anything in exchange for his pleas. (SHR, 77,822-19, at 83-87.)

Petitioner's representations during the plea proceedings "carry a strong presumption of verity," and the official records, signed by petitioner, his counsel and the state trial judge are entitled to a presumption of regularity and are accorded great evidentiary

value. *Blackledge v. Allison*, 431 U.S. 63, 74 (1977); *Webster v. Estelle*, 505 F.2d 926, 929-30 (5th Cir. 1974). The mere fact that petitioner was on medication is insufficient to rebut the presumption that counsel adequately advised petitioner and that petitioner was competent to enter his pleas and did so knowingly, freely and voluntarily with the understanding of the consequences. Without substantiation in the record, a court cannot consider a habeas petitioner's mere assertions on a critical issue in his pro se petition to be of probative evidentiary value. *Ross v. Estelle*, 694 F.2d 1008, 1011-12 (5th Cir. 1983). Petitioner's self-serving assertions, after the fact, are in and of themselves insufficient. See *Siao-Pao v. Keane*, 878 F. Supp. 468, 472 (S.D.N.Y. 1995). See also, e.g., *Panuccio v. Kelly*, 927 F.2d 106, 109 (2d Cir. 1991) (a defendant's testimony after the fact suffers from obvious credibility problems).

Counsel's obligation is to inform a criminal defendant of the advantages and disadvantages of a plea agreement and the attendant statutory and constitutional rights that a guilty plea would forgo. *Libretti v. United States*, 516 U.S. 29, 50-51 (1995). Often a criminal defendant, even if he is unwilling or unable to admit his guilt, will agree to plead guilty to an offense, having been so informed by counsel, in order to avoid a

potentially longer sentence by a jury. Such a decision on the part of a defendant does not render counsel's representation deficient or a plea involuntary. *See North Carolina v. Alford*, 400 U.S. 25, 37 (1970); *Brady v. United States*, 397 U.S. 742, 749-50 (1970).

To the court's knowledge the Supreme Court has not addressed the voluntariness of pleas of true. However, under Fifth Circuit case law, a court considers the "totality of the circumstances" to determine whether a plea of true to an enhancement paragraph was voluntary and intelligent. *Holloway v. Lynaugh*, 838 F.2d 792, 793-94 (5th Cir.), *cert. denied*, 488 U.S. 838 (1988). By pleading true to enhancement paragraphs, a defendant concedes that he in fact has prior convictions that can be used to enhance his sentence on the current conviction. *Id.* at 793. He also waives any complaints about the validity of the prior convictions. *Id.*; *Johnson v. Puckett*, 930 F.2d 445, 449-50 (5th Cir. 1991); *Zales v. Henderson*, 433 F.2d 20, 24 (5th Cir. 1970).

Therefore, by entering pleas of true to the enhancement paragraph in each indictment, petitioner waived his right to challenge the validity of the prior convictions alleged therein if his pleas were voluntary and intelligent. Counsel avers in his affidavit that he explained the effect of petitioner's pleas of

true to the enhancement and deadly weapon allegations would have on his potential sentences and any parole eligibility. (7th Supp. SHR, 77,822-19 at 34-35.) The record also reflects that the trial court explained the effect of a plea of true to the habitual-offender paragraph in the indictments during the plea hearing. (RR, Plea Hr'g, vol. 1 at 5, 9.) Based on the totality of the circumstances, petitioner's pleas of true to the enhancement were intelligent and voluntary.

Therefore, given petitioner's voluntary and knowing guilty pleas and pleas of true, his ineffective-assistance claims (c) and (d) are waived.

Under claims (a) and (g), petitioner asserts counsel was ineffective by failing to investigate and present expert witness testimony to support a voluntary intoxication defense during the sentencing hearing. (Pet. at 11-12.) Specifically, he asserts that he

requested that counsel develop [sic] his MHMR/mental health history of head trauma and his extreme chemical dependency/substance abuse issues and present them towards voluntary [sic] intoxication as a defence [sic]/mitigation of punishment.

(Id. at 11.)

Under state law, voluntary intoxication does not constitute a "defense to the commission of crime." See TEX. PENAL CODE ANN. §

8.04(a) (West 2011). However, temporary insanity caused by intoxication may be presented by a defendant in mitigation of punishment. *Id.* § 8.04(b). Although the record in this case is silent as to the nature and extent of counsel's investigation into these matters, the PSI apparently provided details of petitioner's mental health issues and lengthy history of substance abuse.³ Further, petitioner was permitted to give a "freeform" statement at the sentencing hearing during which he discussed these matters. Petitioner presents no evidence indicating that additional investigation by counsel would have yielded evidence that would have resulted in significantly lower sentences. To prevail on a failure-to-investigate claim, a petitioner must allege with specificity what a more thorough investigation would have revealed and how it would have benefitted him. *United States v. Green*, 882 F.2d 999, 1003 (5th Cir. 1989). Petitioner presents nothing to indicate that the outcome of his trial would have been different had additional evidence of his mental health and substance abuse been introduced. Moreover, "complaints of uncalled witnesses are not favored in federal habeas corpus review because allegations of

³The PSI is not included in the state court records.

what the witness would have testified are largely speculative." *Evans v. Cockrell*, 285 F.3d 370, 377 (5th Cir. 2000). This court will not assume that witnesses, from whom no affidavits are presented, would have testified favorably for the defense. The trial court was aware of petitioner's difficult childhood, "MHMR issues" and substance abuse as stated in the PSI report and testified to by petitioner and his mother and appears to have given petitioner's "life" consideration in determining his sentences. (RR, Sentencing Hr'g, at 56.)

Finally, under (f), petitioner asserts that counsel was ineffective by failing to correct unspecified factual errors in the PSI report. (Pet. at 12.) On direct appeal, petitioner claimed the PSI report inaccurately recited that he was affiliated with the Aryan Brotherhood in prison and that he suffered a head injury in 1990 rather than 2006. The state appellate court, relying solely on state law, addressed the claim as follows:

Appellant . . . contends that the presentence investigation report was not conducted thoroughly or accurately and led to a significantly higher sentence. Appellant contends that the PSI was inaccurate in reciting that he acknowledged "affiliation" with the Aryan Brotherhood while inside prison. He also contends that the PSI misreported that he sustained a head injury in 1990, when he actually sustained it in 2006, and that the proximity in time of his injury to his

2009 crimes may have had some mitigating influence on his sentencing. Appellant contends that he was not allowed adequate access to the PSI, nor time to consult with his counsel to formulate an objection to the incorrect information. He contends that if he had been given adequate time and assistance of counsel, the trial court would have given him a lesser sentence.

"Unless waived by the defendant, at least 48 hours before sentencing a defendant, the judge shall permit the defendant or his counsel to read the presentence report." "The judge shall allow the defendant or his attorney to comment on a presentence investigation . . . and, with the approval of the judge, introduce testimony or other information alleging a factual inaccuracy in the investigation or report."

At the conclusion of Appellant's guilty pleas, the trial court stated, "We are going to have the presentence investigation report prepared as expediently as possible. After I receive a copy of it, I'll have your lawyer to have a copy of it and the State have a copy of it and I'll bring you back so he can review it with you and I'll hear any punishment evidence that he wishes to present." At the punishment hearing, the State asked the trial court to admit a copy of the PSI into the record for all purposes, Appellant's counsel stated that he had no objection, and the trial court entered the exhibit. Appellant contends that his counsel "may have had adequate time to review" the PSI, but that he (Appellant) "was not given this opportunity" and that "the short amount of time he was allowed," he was without counsel. We note that Appellant's complaint that he did not have sufficient time to review the PSI is forfeited because it was not made to the trial court by a timely objection. Even disregarding forfeiture, however, this complaint lacks arguable merit.

Regarding Appellant's complaint that the trial court's sentencing was based on inaccurate information in his PSI, the defendant bears the burden to point out any material inaccuracy in the PSI to the trial court

at the time of the sentencing hearing. The appellant bears the burden on appeal of showing that the trial court relied on inaccurate information in determining his sentence. Although Appellant's counsel did not object on the record to inaccuracies in the PSI (and in fact stated he had no objection to it being introduced as an exhibit), Appellant asserts that he preserved error on this issue by telling the trial court at the sentencing hearing that, "I have hung out, yes, with Aryan Brotherhood. I have never joined. I've hung out with them because in prison, when you're a 17- or 18-year-old kid out of the suburbs on a prison unit like B21 in the '80s, you ain't got no choice. I signed. I'm not say[ing] that's an excuse for what I did when I got out. Okay. But I did what I had to survive in there." Appellant also stated, "I'm not a racist. I don't want that to figure in your decision." Contrary to Appellant's argument, his testimony establishes the accuracy of the PSI; that is, that he affiliated with the Aryan Brotherhood while in prison. In any event, the record demonstrates that Appellant was not harmed by any alleged inaccuracies about his affiliation with the Aryan Brotherhood while in prison. Indeed, the trial court stated to Appellant at the sentencing hearing, "[Y]ou brought up the fact that while you were in the penitentiary, you associated yourself with the Aryan Brotherhood and you didn't want me to take that into consideration, and I don't. All I take into consideration [are] the crime[s]. . . ."

Regarding Appellant's argument that the PSI incorrectly stated that he sustained a head injury in 1990 rather than in 2006, he forfeited this complaint because he failed to alert the trial court to the alleged inaccuracy. Even disregarding forfeiture, however, there is no indication that the trial court would have given Appellant a lesser sentence if the PSI had stated that Appellant sustained the head injury in 2006. Indeed, the PSI states that there appears to be a direct correlation between his criminal activity and substance abuse. Likewise, in testifying in the punishment phase about his culpability in the 2009 crimes, Appellant did not assert that a 2006 head

injury mitigated his culpability; instead, he testified, "I have got a drug addiction. . . . And when I was out there doing what I was doing, I was so far strung out and in my drugs." Further, in assessing Appellant's punishment, the trial court emphasized that Appellant's use of drugs while committing his offenses was "frightening" because "[i]f you're on drugs you know what you're doing, but you don't care what you're doing because drugs take over." In fact, the trial court explicitly explained the basis for the sentences imposed: "All I take into consideration is the crime itself, the pain and suffering that it's caused the victims, because the victims are as important as the person who is standing up here to be sentenced. I have to take their lives into consideration just like I take your life into consideration." Thus, the record demonstrates that the alleged inaccuracies did not harm Appellant because the trial court did not consider them.

(Mem. Op. at 10-14 (citations omitted).)

The state court's adjudication of the claims is neither contrary to or an unreasonable application of clearly established Supreme Court precedent nor it is based on an unreasonable determination of the facts in light of the record before the state court. Even if petitioner could show that counsel was deficient for not objecting to the alleged inaccuracies in the PSI, which he has not, he fails to demonstrate that but for this omission a reasonable probability exists that his sentences would have been significantly less harsh given the nature and extent of the underlying offenses and petitioner's extensive criminal

history.⁴ *Spriggs v. Collins*, 993 F.2d 85, 88 (5th Cir. 1993).

Petitioner is not entitled to relief under grounds one and two.

(3) and (4) State Habeas Court Proceedings

Under his third and fourth grounds, petitioner claims he was denied a full and fair opportunity to develop the facts in the state courts and that the trial court's factual findings, as proposed by the state, are based on an unreasonable determination of the facts in light of the evidence. (Pet. at 7, 13-15.) Specifically, petitioner complains that counsel's affidavit is vague and ambiguous; that the trial court's findings contradict counsel's affidavit and are not otherwise supported by the record; that petitioner was unable through no fault of his own to develop the facts; that the state court "infers all forms of professionalism and sufficient representation from counsel" where there is nothing to support such inferences; and that the finding that petitioner lied in his pleadings is patently false. Clearly, certain inconsistencies in the state habeas court's factual findings and counsel's affidavit exist. However, the Texas Court of Criminal Appeals did not adopt the findings, likely due to

⁴TDCJ's website reflects that prior to the instant offenses, petitioner had eight prior felony convictions in Tarrant County, Texas. TDCJ's Offender Information Details, available at http://www.tdcj.state.tx.us/offender_information.

those inconsistencies, in denying petitioner's state habeas applications. As previously noted, under such circumstances, the Texas Court of Criminal Appeals presumably applied correct standards of federal law and made findings consistent with its decision. The Supreme Court has established that a state court's failure to make explicit findings of fact does not affect the deference a court must accord the state court's determination. *See Harrington*, 562 U.S. at 9 ("[D]etermining whether a state court's decision resulted from an unreasonable legal or factual conclusion does not require that there be an opinion from the state court explaining the state court's reasoning." (citations omitted)). See also *Amos v. Thornton*, 646 F.3d 199, 205 (5th Cir. 2011) (per curiam) (finding that deference to the state court is not diminished when the state court "did not explain the reasons for its determination"). This is so even if the state court proceedings may not have been full and fair. *Valdez v. Cockrell*, 274 F.3d 941, 950-51 (5th Cir. 2001) (providing to require "a full and fair hearing requirement that would displace the application of § 2254(e)(1)'s presumption would have the untenable result of rendering" the statute a nullity), cert. denied, 537 U.S. 883 (2002). Furthermore, alleged defects in a state habeas proceeding are not cognizable under federal habeas

review. *Rudd v. Johnson*, 256 F.3d 317, 319-20 (5th Cir. 2001); *Trevino v. Johnson*, 168 F.3d 173, 180 (5th Cir. 1999). Petitioner is not entitled to relief under his third and fourth grounds.

V. Conclusion

In summary, petitioner has failed to satisfy his burden by showing that the state courts' rejection of his claims involved an unreasonable determination of the facts or an unreasonable application of federal law as determined by the Supreme Court.

For the reasons discussed,

The court ORDERS the petition of petitioner for a writ of habeas corpus pursuant to 28 U.S.C. § 2254 be, and is hereby, denied. The court further ORDERS that a certificate of appealability be, and is hereby, denied, as petitioner has not made a substantial showing of the denial of a constitutional right.

SIGNED September 12, 2016.


JOHN MCBRYDE
UNITED STATES DISTRICT JUDGE